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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/917,866	07/31/2001	Kurt A. Dobbins	110197.160

CONFIRMATION NO. 9481

## FORMALITIES LETTER



\*OC000000006581220\*

Hale and Dorr LLP  
1455 Pennsylvania Avenue, N.W.  
Washington, DC 20004

Date Mailed: 09/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/19/2001 SDIRETA1 00000012 080219 09917866

FILED UNDER 37 CFR 1.53(b)

01 FC:101 740.00 CH  
02 FC:105 130.00 CH

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

*Sadi*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Docket No.: 110197.160



TO

*Sector 81*

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Kurt A. DOBBINS et al.

Serial No.: 09/917,866

Filed: July 31, 2001

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APPLICATIONS BRANCH

For: DIRECTORY-ENABLED INTELLIGENT BROADBAND SERVICE SWITCH

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF NONPROVISIONAL APPLICATION**

Honorable Commissioner for Patents  
Washington D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated September 20, 2001, submitted herewith are the following documents for filing in the above-referenced application:

1. Declaration and Power of Attorney (which is in compliance with 37 CFR 1.63)
2. Assignment and Recordation Form Cover Sheet
3. Copy of Notice to File Missing Parts of Nonprovisional Application

Please charge Deposit Account No. 08-0219 in the amount of \$880.00 (Statutory Basic Filing Fee of \$710.00, Late Filing Fee Surcharge of \$130.00, and Assignment Recordation Fee of \$40.00). To the extent necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 08-0219 and please credit any excess fees to such deposit account.

[X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 08-0219. A duplicate copy is enclosed.

[X] Any additional filing fees required under 37 CFR 1.16.

[X] The Commissioner is hereby authorized to charge payment of following fees during the pendency of this application or credit any overpayment to Deposit Account No. 08-0219. A duplicate copy of this sheet is enclosed.

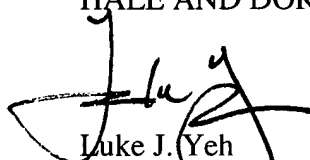
[X] Any patent application processing fees under 37 CFR 1.17.

[X] Any filing fees under 37 CFR 1.16 for presentation of extra claims.

It is requested that the official filing receipt now be issued.

Respectfully submitted,

HALE AND DORR LLP

A handwritten signature in black ink, appearing to read 'Luke J. Yeh', is written over the printed name.

Luke J. Yeh  
Registration No. 43,296

The Willard Office Building  
1455 Pennsylvania Avenue, NW  
Washington, DC 20004  
202 942 8400 SMA:LJY:lrr  
Date: 11/16/01  
202 942 8484 facsimile